4th Conflict Minerals Compliance and Supply Chain Transparency

Constructing Resilient Controls through Enhanced Audit and Data Collection Processes in Light of Evolving Domestic and International Reform

June 22-23, 2016
Chicago, IL

Pre-Conference Workshops: June 21, 2016

Workshop A: Pioneering a Successful Supplier Training Module to Ease the Burden of Non-Compliance on the Manufacturer with Huntington Ingalls Industries-Newport News Shipbuilding

Workshop B: Verifying Smelter Data and Quality through Increasing Supplier Information and Smelter Certification

Attending This Premier marcus evans Conference will Enable You to:

• Assess product level and company level basis to recognize best approaches for your company and emerging trends with Baker Hughes
• Navigate the Independent Private Sector Audit (IPSA) program to ensure preparedness in case of legal requirement with Crowe Horwath LLP
• Build supplier requirements into the contract to ensure responsiveness and communicate the consequences on non-compliance with Meritor
• Tie together the Corporate Social Responsibility framework to comply with international and foreign requirements with Premier Farnell Corp.
• Envision where the EU Legislation is headed and if in place plans will be effected with Tetra Tech

Who Should Attend:
marcus evans invites Senior Counsel, VPs, Directors and Senior Managers with responsibilities for Conflict Minerals or involvement in:

• Supply Chain / Procurement / Sourcing / Purchasing
• Compliance
• HSE / EHS
• CSR: Corporate Social Responsibility
• Sustainability

Silver Sponsors:

Featured Conflict Mineral and Supply Chain Transparency Expert Speakers Include

Fiona Susie
Conflict Minerals and RoHS Program Leader
Becton Dickinson

Wilhelm Janisch
Senior Manager, Global Environmental Compliance
Baxter International

Louis R. Ferretti
Project Executive, Product Environmental Compliance and Supply Chain Social Responsibility
IBM

Kevin Savage
Compliance Officer, Supply Chain Management
Ball Aerospace and Technologies Corp

Brigette Burnell
General Counsel and Corporate Secretary
The Gorman-Rupp Company

Dr. Katie Boehme
Marketing / Corporate Communications & eLearning
iPoint Inc.

Keith McCann
Sales Director
Source Intelligence

Jonathan Hughes
Conflict Mineral Program Lead
Assent Compliance Inc.

Jennifer Prisco
Senior Counsel, Global Supply Chain
TE Connectivity

Jennifer Guest
Project Engineer
Tetra Tech

Fred Mrachina
Global Supplier Risk and Trade Compliance Manager
Meritor

Jakub Petersson
Manager, Risk Management and Strategic Initiatives, Global Supply Management
Allegion

Carlos Alvarado
Conflict Minerals Coordinator, Global Supply Chain
Tetra Tech

Kathy Scheibe-Powell
Manager, Engineering Services Program Management
Rockwell Automation

Sylvia Gaffney
Government Subcontracts Counsel
ViaSat

Formerly, Associate General Counsel

General Dynamics NASSCO

Christopher T. McClure
Midwest Practice Leader - Forensics
Crowe Horwath LLP

Dr. Katie Boehme
Marketing / Corporate Communications & eLearning
iPoint Inc.

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EARN UP TO 14 HOURS OF CLE CREDITS

Booking Info:
Tyler Kelch | T: 1 312 894 6310
E: TylerKe@marcusevansch.com
Day One | Wednesday, June 22, 2016

7:30  Registration and Morning Coffee
8:15  Chairperson's Opening Address

8:30  Interactive Panel Discussion

Evaluating and Discussing the Best Practices and Techniques for Data Review

- Classifying red flags within the data; most common areas that need extra due diligence and increase awareness of risk
- Validating geographical risk mapping with the information provided for the Reasonable Country of Origin Inquiry (RCOI)
- Understanding what is expected of companies with differences in scope and their compliance plans in regards to due diligence
- Reviewing the scope of minerals and regions that require extensive due diligence to remain compliant
- Discussing ROI and the business case for program resources

Jennifer Prisco, Senior Counsel, Global Supply Chain Compliance

9:20  Case Study

Assessing Product Level and Company Level Basis to Recognize Best Approaches for Your Company and Emerging Trends

- Coordinating product and company data while receiving both and identifying how this affects your plan
- Understanding the data requirement differences associated with being product level versus company level basis
- Increasing incoming information that is maintained with the correct product or company level basis your company is striving for
- Reviewing the chain of custody requirements and overcoming challenges associated with Supplier Information

Carlos Alvarado, Conflict Minerals Coordinator, Global Supply Chain Compliance

10:40  Case Study

Navigating the Independent Private Sector Audit (IPSA) Program to Ensure Preparedness in case of Legal Requirement

- Develop a plan to prepare for the audit prior to beginning the first one
- Understand where the audit stands with scope in regards to Form SD and the CMRT
- Prepare for the audit by gaining a deeper look at the process
- Answer questions regarding challenges that may come across
- Determine the steps to take after the audit has been completed, what does this mean for your company?

Christopher T. McClure, Mid-Atlantic Practice Leader - Forensics
Crowe Horwath LLP

11:30  Case Study

Examining CFSI Updates, Reforms and Emerging Issues

- Supporting needs for the upstream processes
- Identifying new conflict materials and regions that may be affected in context with the CFSI
- Monitoring legislative initiatives and changes moving forward
- Tracking updates made by the CFSI to improve smelter relations and where they may be looking to go

Tim Mohin, Senior Director, Corporate Responsibility
Advanced Micro Devices

12:20  Luncheon

3:00  Networking Break

3:30  Case Study

Aiding CM RCOI and Product Level CMRT with X-Ray Fluorescence (XRF) Spectrometry

- Introducing XRF Spectrometry
- Utilizing XRF for both Restricted Substance (RoHS) and Conflict Minerals compliance programs
- Detecting 3TGs in non-metallic materials with XRF; helping refine which parts and suppliers to include in the RCOI
- Using XRF to filter supplier provided company level CMRT data to respond to customer product level requests

Greg Lanchman, Manager Materials Compliance
Regal Beloit America, Inc.

4:00  Networking Break

5:30  End of Pre-Conference Workshops

ENHANCE DATA COLLECTION AND REVIEW TO BETTER MANAGE SUPPLIER ENGAGEMENT

Building an Internal Conflict Minerals Infrastructure – How Much is Enough?

- Creating geographical risk maps to ease the burden of expansive due diligence and increase awareness of risk
- Validating geographical risk mapping with the information provided for the Reasonable Country of Origin Inquiry (RCOI)
- Understanding what is expected of companies with differences in scope and their compliance plans in regards to due diligence
- Reviewing the scope of minerals and regions that require extensive due diligence to remain compliant
- Discussing ROI and the business case for program resources

Jennifer Prisco, Senior Counsel, Global Supply Chain Compliance

TE Connectivity
Day One | Continued

4:20  Case Study
Developing Strategies to Increase Supplier Responsiveness for Better Incoming Data Timeliness and Accuracy
- Engaging suppliers to build strong relationships and maintain open communication
- Building ways to communicate through language barriers in respect to increasing responsiveness and correct information
- Varying the approach to fit individual suppliers and fit their needs
- Communicating through different channels to reach out to the suppliers who continue to not respond accordingly

Jakub Peterson, Manager, Risk Management and Strategic Initiatives
Global Supply Management

Allegion

5:10  Case Study
Building Supplier Requirements into the Contract to Ensure Responsiveness and Communicate the Consequences on Non-Compliance
- Developing a contract format that includes conflict minerals reporting when engaging a new supplier
- Identifying the consequences of non-compliance to the supplier in the contract to avoid any grey area and misrepresentation
- Incorporating other supply chain initiatives and due diligence requirements into the contract

Fred Machina, Global Supplier Risk and Trade Compliance Manager
Meritor

6:00 Closing Remarks & End of Day One

Day Two | Thursday, June 23, 2016

8:00  Registration and Morning Coffee
8:20  Chairperson’s Opening Address

IMPLEMENT TRANSPARENCY THROUGHOUT THE SUPPLY CHAIN BY LEVERAGING THE CM FRAMEWORK

8:30  Joint Discussion
Crafting a “Do-It-Yourself” Conflict Minerals Kit through Frameworks and Policies across a Global and Decentralized Organization
- Developing an in-house conflict minerals compliance program and policy statement on a corporate level
- Converting that program and policy into a conflict minerals kit that can be deployed throughout the organization
- Communicating and implementing that kit across all business units
- Matching data requirements of the business units, customers, and suppliers to ensure accurate filing

Brigette Burnell, General Counsel and Corporate Secretary
The Gorman-Rupp Company

Sylvia Gaffney, Government Subcontracts Counsel
ViaSat
Formerly, Associate General Counsel General Dynamics
NASSCO

9:20  Case Study
Utilizing Conflict Minerals Framework as a Baseline for Anti-Slavery and Anti-Human Trafficking Compliance
- Establishing cited traits that qualify a company to be complaint under the Modern Slavery Act
- Identifying the similarities and differences between UK Modern Slavery Act and the US Anti-Human Trafficking legislation
- Analyzing how to produce a correct and compliant statement to fill the required certifications and how it will impact the supplier and customer base
- Performing due diligence to fit trafficking, modern slavery, and conflict minerals to create continuity in the supply chain

Tolga Yaprak, Senior Consultant, Compliance and Social Responsibility
iPoint Inc.

10:10 Networking Break
10:40  Case Study
Tying Together the Corporate Social Responsibility Framework to Comply with International and Foreign Requirements
- Expanding your program beyond conflict minerals to cover California’s Transparency in Supply Chains and the UK Modern Slavery Acts
- Broadening your program to cover REACH, RoHS, and Full Materials Compliance requirements and requests
- Considering the positive impact of establishing a program that addresses multiple supply chain initiatives affecting your business

Ken Manchen, Corporate Director, Safety, Health & Environmental Affairs – Americas
Premier Farnell Corp

11:30  Case Study
Making Environmental Compliance “Easy”
- Engaging Key Stakeholders
- Defining a Roadmap
- Creating a Vision
- Automating Success

Wilhelm Janisch, Senior Manager, Global Environmental Compliance
Baxter International

SPEARHEAD FUTURE DEVELOPMENTS AND REQUIREMENTS AND ASSESS THEIR IMPACT ON FUTURE COMPLIANCE INITIATIVES

1:20  Panel
Envisioning how EU Legislation and Recent China Updates will Effect Compliance
- Analyzing possible outcomes of the current EU legislation from where it currently stands
- Reviewing how it may remain similar and discussing where the big challenges will be
- Establishing where China may be headed with their legislation and if it will mirror other legislations or add into another facet of compliance
- Envisioning factors that may need to be changed to remain compliant within all three legislations

Jennifer Guest, Project Engineer
Tetra Tech

Dr. Katie Boehme, Marketing/Corporate Communications & eLearning
iPoint Inc.

2:10  Case Study
Building a Program to Sustain through Year Five and Staying Aligned within the Industry
- Projecting future expectations to determine sustainability of year four efforts
- Communicating with other industry experts to understand the most efficient tactics used for CM programs
- Maintaining alignment with the industry and industry experts
- Moving the ‘needle’ where possible with suppliers and the electronics supply chain

Jennifer Blanco, Manager, Corporate Social Responsibility
Marvell Semiconductor, Inc

3:30  Case Study
Instilling a Framework to Corporate Social Responsibility to Cover Supply Chain Initiatives and Establish Full Compliance
- Understanding how companies are being rated based on their corporate social responsibility and how it effects them
- Brainstorming on where social responsibility will be moving from here as demands for more sustainable and responsible companies becomes common
- Identify the importance of corporate social responsibility and image in the eyes of stakeholders, including investors, consumers, and the government

Louis I. Ferretti, Project Executive, Product Environmental Compliance and Supply Chain Social Responsibility
IBM

4:20  Case Study
Establishing the Actual Impacts on the Associated Regions of the DRC and All Parties Involved
- Distinguishing facts from opinions on whether the conflict minerals legislation is helping or hurting
- Reflecting how the EU and China legislations may affect the area and the impact already done
- Reviewing what needs to be done to actually help this area in need, whether that is a legislation change

Kevin Savage, Compliance Officer, Supply Chain Management
Ball Aerospace and Technologies Corp

5:10 Closing Remarks of the Chair & End of the Conference

CONTINUING LEGAL EDUCATION

marcus evans has requested CLE accreditation from all appropriate states. marcus evans certifies that this conference has been pre approved for CLE credits by the Pennsylvania, California and West Virginia State continuing legal education authorities and also approved for New Jersey and Colorado CLE credits via reciprocity. To qualify for CLE credits you are required to sign-in with your state bar number for every conference day that you are in attendance. CLE credits are subject to final approval from the individual state boards and certificates will be issued 6-8 weeks after the conference is held.

More Registration Details. Click Here!
iPoint is the leading expert in environmental product compliance and sustainability. For more than 10 years, the software and consulting company has been enhancing and consolidating its expertise in business process optimization in the automotive, electronics, and other industries. More than 16,000 customers from all over the world, including small companies as well as S&P 500 enterprises, rely on iPoint-systems when it comes to managing, tracking and reporting data across the supply chain, from product concept to recycling and reuse. The iPoint Conflict Minerals Platform (iPCMP), launched in 2012, has become the standard cross-industry software solution for Conflict Minerals reporting.

Headquartered in the surfer's paradise of San Diego, CA, Source Intelligence is a global network of businesses linked together to exchange and validate compliance information to ensure legal and ethical sourcing. The company’s cloud-based platform increases transparency and traceability while enabling data-driven decision-making. Source Intelligence is a recognized leader in Conflict Minerals, Restricted Substances, Anti-Corruption, and Sustainability compliance programs.

Crowe Horwath, one of the largest global public accounting and consulting firms, helps clients reach their goals with tax, advisory, risk and performance services. Crowe works with a variety of public and private companies in multiple industries as the provider of conflict minerals compliance process planning implementation or as the Independent Private Sector Auditor.

Assent Compliance specializes in IT solutions and Support programs that help organizations with their Global Compliance strategies. Assent’s Industry leading platform is used by over 30% of S&P 500 companies for a wide range of regulations from Conflict Minerals to Restricted Substance Control, Social Compliance, Inspections, Audits and more.

SupplyChainBrain, the world’s most comprehensive supply chain management information resource, is accessed year round through a wide range of ever evolving multi-media formats by hundreds of thousands of senior level industry executives. In addition to addressing the fundamental principles of supply-chain management, SupplyChainBrain identifies emerging trends, technologies and best practices, forward thinking ideas and cutting-edge solutions and continues to write and report about these as they evolve and mature.

Launched in 2013, SMT Today aims to bring a fresh approach to the industry, integrating the best of traditional communication alongside the very latest technology to engage and keep our readers in touch with what’s happening within the industry. Our media services are solely dedicated to the US and European electronics industry, it’s technology, it’s innovation and most importantly, it’s people. As a bi-monthly magazine, print is at the heart of SMT Today’s foundations and we collate exclusive content and produce a perfectly bound, high quality magazine. Subscription to the print edition is free.

Supply & Demand Chain Executive is the executive's user manual for successful supply and demand chain transformation, utilizing hard-hitting analysis, viewpoints and unbiased case studies to steer executives and supply management professionals through the complicated, yet critical, world of supply and demand chain enablement to gain competitive advantage. Supply & Demand Chain Executive is a publication of AC Business Media.

On the Web at www.SDCExec.com and on smartphones and tablets at www.SDCExec.com/mobile

www.SMTnet.com is a website for professionals in electronics manufacturing. The website’s content is provided by the users and includes the Q & A forum, company directory, industry news, career center and more! Participation is free including a company listing and full product/service catalog.

**WHY YOU SHOULD ATTEND:**

This premier Marcus Evans conference will provide professionals in the field the chance to speak about upcoming initiatives, examine the processes of the Independent Private Sector Audit, and work through challenges plaguing programs. This event will allow you to create actionable strategies to overcome challenges, sustain compliance, and improve corporate social responsibility.

**TESTIMONIALS:**

"This conference provided the greatest depth, breadth and sharing of issues, concerns and methods on conflict minerals compliance of any conference to date."

**Alcatel-Lucent**

"Great conference - engaging topics, well organized and valuable time spent."

**Becton Dickinson**

"Great Conference enjoyed the various perspectives from the speakers."

**Micron Technologies**

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